Interaction Correction to the Magneto-Electric Polarizability of Z_2 Topological Insulators

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When time-reversal symmetry is weakly broken and interactions are neglected, the surface of a Z_2 topological insulator supports a half-quantized Hall conductivity $\sigma_S = e^2/(2h)$. A surface Hall conductivity in an insulator is equivalent to a bulk magneto-electric polarizability, *i.e.* to a magnetic field dependent charge polarization. By performing an explicit calculation for the case in which the surface is approximated by a two-dimensional massive Dirac model and time-reversal symmetry is broken by weak ferromagnetism in the bulk, we demonstrate that there is a non-universal interaction correction to σ_S. For thin films interaction corrections to the top and bottom surface Hall conductivities cancel, however, implying that there is no correction to the quantized anomalous Hall effect in magnetically doped topological insulators.

I. INTRODUCTION

The quantum Hall effect[1] stands alone among transport phenomena because it is characterized by a non-zero transport coefficient whose value is universal, dependent only on fundamental constants of nature and not at all on crystal imperfections and other peculiarities of individual samples. The accuracy of the quantum Hall effect is now established to better than eight figures[2] and has no established limitation. This surprising property can be traced to its identification with a topological index[3–5] of electronic structure, one that can be non-trivial only in systems with broken time-reversal symmetry. For many years quantum Hall states endured as the only known example of topologically non-trivial electronic structure. In recent years, however, the topological classification[5,6] of electronic states has broadened considerably. The Z_2 classification[7–11] of what are seemingly the most innocent of states—time-reversal invariant insulators—has particularly broad experimental implications. Only in the original quantum Hall case, however, is the topological index a readily measured macroscopic observable.

Non-trivial electronic topology is most commonly revealed by the presence of protected boundary states at surfaces and heterojunctions.[12,13] The topological character of a three-dimensional insulator, for example, can be revealed by examining its surface states[14] to determine whether the number of Dirac points (linear band crossings) is even or odd. The observable that is most closely related to the non-trivial Z_2 topological index of time-reversal invariant insulators is its magneto-electric polarizability,[15–18] or equivalently its surface-state Hall conductivity. Because a finite Hall conductivity requires broken time-reversal symmetry, the association of magneto-electric polarizability with a time-reversal invariant state is puzzling. The accepted resolution[19] of this conundrum, briefly, is that the bulk magneto-electric polarizability is observable only when time-reversal invariance is weakly broken at the surface and the Fermi level lies in the resulting surface-state gap. When these conditions are satisfied, it is commonly argued that the

surface Hall conductivity of a non-interacting Z_2 topological insulator (TI) must be quantized at a half-odd-integer multiple of e^2/h because i) it must change sign under time reversal, and ii) it can change only by integer multiples of e^2/h under time-reversal or under any other change in the Hamiltonian. This magneto-electric response of a TI has been referred to as its Chern-Simons polarizability. In this article we show that, in contrast to the case of the quantum Hall effect, weak interactions quite generally yield a correction to this observable.

Our conclusions are based on an explicit calculation for the case of a TI surface with a single Dirac cone, and time-reversal symmetry that is broken by weak bulk ferromagnetism (see Fig. 1). The model we consider provides a good description of the thin-film diluted-moment ferromagnets based on $(\mathrm{Bi},\mathrm{Sb})_2\mathrm{Te}_3$ TIs in which the quantized anomalous Hall effect (QAHE)[20–23] has recently been observed. Chromium or vanadium doping in these materials introduces local moments that order at low temperatures, breaking time-reversal symmetry and opening a gap in the surface-state spectrum. The discovery[20] of a QAHE in this material was inspired by earlier theoretical work[24] which predicted that thin films
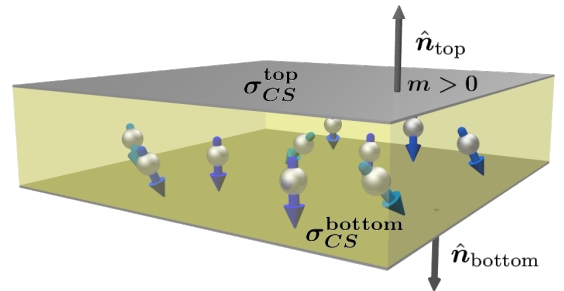

FIG. 1. A diluted-moment topological-insulator ferromagnet containing local-moment spins that order, breaking time-reversal symmetry and coupling to its surface Dirac cones. We show that interactions between surface-state quasi-particles and fluctuations of the magnetic condensate are responsible for corrections of opposite sign to the top and bottom surface half-quantized Hall conductivities.

of the tetradymite semiconductors Bi_2Te_3, Bi_2Se_3, and Sb_2Te_3 would reveal a quantized Hall effect when doped with transition metal elements.

The Hall conductivity on both top and bottom surfaces of a diluted-moment TI ferromagnet is expected to be half-quantized,[15,16,25] provided[26] that time-reversal-symmetry breaking energy scales are small compared to the bulk energy gap. When electronic properties of the system are evaluated using mean-field theory, this expectation is corroborated in the small surface-state-gap limit by calculations based on a Dirac model with an energy gap due to exchange interactions between surface-state quasi-particles and the bulk magnetic condensate.[12,13] We show below that the surface Hall effect is no longer exactly half-quantized when interactions between surface-state quasi-particles and quantum fluctuations of the bulk magnetization, described as magnons, are included. The total Hall effect obtained by summing over the top and bottom surfaces of a thin film remains quantized however, in agreement with experiment.

II. SURFACE-STATE HAMILTONIAN

We consider two-dimensional (2D) surface-state model Hamiltonians with a single Dirac cone, exchange interactions, and spin-dependent disorder or interaction terms:

$$H = H_{\text{qp}} + H_{\text{pert}}, \tag{1}$$

where H_{qp} is a mean-field-theory quasi-particle Hamiltonian for a gapped Dirac system, and H_{pert} is a perturbation. The mean-field Hamiltonian can quite generally be expressed in the form

$$H_{\text{qp}} = \sum_{\boldsymbol{k}} \Psi_{\boldsymbol{k}}^\dagger \mathcal{H}_{\text{qp}}(\boldsymbol{k}) \Psi_{\boldsymbol{k}}, \tag{2}$$

where $\Psi_{\boldsymbol{k}}$ is an annihilation operator spinor, and $\mathcal{H}_{\text{qp}}(\boldsymbol{k})$ is expanded in a Pauli matrix basis:

$$\mathcal{H}_{\text{qp}}(\boldsymbol{k}) = d_0(\boldsymbol{k})\sigma_0 + \boldsymbol{d}(\boldsymbol{k}) \cdot \boldsymbol{\sigma}. \tag{3}$$

This Hamiltonian has a gap separating low-energy valence-band surface states, which are occupied in the case of interest, from high-energy conduction-band surface states:

$$\xi_\pm(\boldsymbol{k}) = d_0(\boldsymbol{k}) \pm |\boldsymbol{d}(\boldsymbol{k})|. \tag{4}$$

When the surface-state Hamiltonian is time-reversal invariant, $\boldsymbol{d}$ and hence the gap must vanish at $\boldsymbol{k} = 0$. In order to clearly explain the origin of the surface-state Hall conductivity correction, we specialize below to the case of the 2D massive Dirac model which is simplified by isotropic energy bands:

$$\mathcal{H}_{\text{qp}}^{\text{MD}}(\boldsymbol{k}) = \hbar v \hat{z} \cdot (\boldsymbol{k} \times \boldsymbol{\sigma}) \pm \hbar m \sigma_z \equiv \boldsymbol{d}_\pm^{\text{MD}}(\boldsymbol{k}) \cdot \boldsymbol{\sigma}, \tag{5}$$

where we have chosen the zero of energy at the Dirac point, v is the Fermi velocity of the surface-state Dirac fermions, $\Delta = 2\hbar|m|$ is the surface-state gap, and the sign in Eq. (5) depends on the direction of the thin-film magnetization relative to the surface normal. The σ_z term in this Hamiltonian is the mean-field exchange interaction between the surface-state spins and perpendicular anisotropy bulk magnetization.

We describe our Hall conductivity calculation in detail for the case in which the surface normal and the exchange field on the surface are parallel and in the $\hat{z}$ direction. This choice corresponds to spin-$\downarrow$ occupied surface states and, if the interaction between the surface state quasi-particle and the bulk magnetization is ferromagnetic, to a spin-$\downarrow$ bulk spin orientation. The gapped surface-state conduction- and valence-band energies are given by:

$$\xi_\pm^{\text{MD}}(\boldsymbol{k}) = \pm\hbar\sqrt{v^2|\boldsymbol{k}|^2 + m^2}. \tag{6}$$

We distinguish two types of perturbative corrections to the massive Dirac model: i) static perturbations in which the Hamiltonian is changed but the Hilbert space is not, and ii) dynamic perturbations in which the surface-state quasi-particle are coupled to external bosonic degrees of freedom like phonons or magnons. In the first case, we consider the Hamiltonian $\mathcal{H}_{\text{pert}}^{\text{st}} = g_0\sigma_0 + \boldsymbol{g} \cdot \boldsymbol{\sigma}$, where g_0 and $\boldsymbol{g}$ are charge and spin disorder potentials that depend randomly on position. Since in this article our goal is simply to establish that the interaction corrections to the Hall conductivity do not vanish, we calculate corrections only to leading order in perturbation theory. Because the leading order response can be written as a sum over contributions from different Fourier components $\boldsymbol{p}$ of g_0 and $\boldsymbol{g}$, we can consider one component at a time. It is therefore sufficient to assume that these functions vary sinusoidally with position with arbitrary wavevector $\boldsymbol{p}$.

In the dynamic perturbation case, $H_{\text{pert}}^{\text{dy}} = H_{\text{b}} + H_{\text{qp-b}}$, we add to the Hamiltonian both a bare boson contribution H_{b} and an interaction $H_{\text{qp-b}}$ between quasi-particles and bosons:

$$H_{\text{b}} = \sum_{\boldsymbol{p}} \hbar\omega_{\boldsymbol{p}} a_{\boldsymbol{p}}^\dagger a_{\boldsymbol{p}}, \tag{7a}$$

$$H_{\text{qp-b}} = A^{-1/2} \sum_{\boldsymbol{k}} (\Psi_{\boldsymbol{k-p}}^\dagger a_{\boldsymbol{p}}^\dagger \mathcal{M} \Psi_{\boldsymbol{k}} + \text{h.c.}). \tag{7b}$$

Here, $a_{\boldsymbol{p}}^\dagger$ ($a_{\boldsymbol{p}}$) creates (annhilates) bosons with momentum $\boldsymbol{p}$, $\omega_{\boldsymbol{p}}$ specifies the boson dispersion, A is the surface area, and $\mathcal{M}$ is a electron-boson interaction coupling matrix which can be spin-dependent. In the zero temperature limit, we can, in calculating the leading-order electron-boson interaction correction, truncate the boson Hilbert space both to a single boson momentum $\boldsymbol{p}$ and to the $n = 0$ and $n = 1$ occupation numbers. These simplifications allow the dressed eigenstates to be obtained by diagonalizing 4×4 matrices for each $\boldsymbol{k}$.

Because the exchange interaction between a magnetic quasi-particle and a ferromagnetic condensate is (at least approximately) invariant under simultaneous rotation of the magnetic order parameter and the quasi-particle spin, magnon creation (which raises spin for the $\downarrow$ condensate

spin direction considered here) is accompanied by quasi-particle spin-flip from ↑ to ↓ and magnon annihilation by quasi-particle spin-flip from ↓ to ↑. We therefore write $\mathcal{M}_{\mathrm{sw}} = \gamma_{\mathrm{sw}}(\sigma_x - i\sigma_y)/2$. We show below that this interaction vertex implies a correction to the surface Hall conductivity.

III. MAGNETO-ELECTRIC POLARIZABILITY

Using linear-response theory (see Sec. I of the supplemental material), the surface-state Hall conductivity can be expressed in terms of current-operator matrix elements between momentum-dependent ground $|0\rangle$ and excited states $|n\rangle$:

$$\sigma_{xy} = -\frac{\hbar}{2\pi^2} \int_{\mathrm{DP}} d^2k \sum_{n \neq 0} \frac{\mathrm{Im}(\langle 0|j_x|n\rangle\langle n|j_y|0\rangle)}{(E_n - E_0)^2/\hbar^2} \quad (8a)$$

$$= \frac{e^2}{2\pi h} \int_{\mathrm{DP}} d^2k \, \Omega_{xy}(\boldsymbol{k}) \quad (8b)$$

$$= \frac{e^2}{2\pi h} \oint_{\partial\mathrm{DP}} d\boldsymbol{k} \cdot \boldsymbol{A}(\boldsymbol{k}). \quad (8c)$$

In Eq. (8) the integrals over momentum are taken over the Dirac point region DP, bounded by ∂DP, defined as the region in which the surface states lie inside the bulk gap. Eqs. (8b) and (8c) rely on the observation that the continuum model current operator expression, $j_\mu = -(e/\hbar)(\partial H/\partial k_\mu)$, remains valid when electron-boson coupling is included. When the boson momentum is restricted to $\boldsymbol{p}$ and the boson Hilbert space is truncated to $n = 0, 1$, the eigenstates in Eq. (8) are linear combinations of $n = 0$ band electron states with momentum $\boldsymbol{k}$, and $n = 1$ band states with momentum $\boldsymbol{k} - \boldsymbol{p}$. The Berry curvature[27] is given by:

$$\Omega_{xy}(\boldsymbol{k}) = i \sum_{n \neq 0} \frac{\langle 0|\frac{\partial H}{\partial k_x}|n\rangle\langle n|\frac{\partial H}{\partial k_y}|0\rangle - (x \leftrightarrow y)}{(E_n - E_0)^2}$$
$$= \partial_{k_x} A_y(\boldsymbol{k}) - \partial_{k_y} A_x(\boldsymbol{k}), \quad (9)$$

where the Berry connection $A_\mu(\boldsymbol{k}) = i\langle 0|\partial_{k_\mu}|0\rangle$. When applying Eq. (8c) we must choose a gauge in which the ground state is a smooth function of wavevector inside the region DP.

In the absence of interactions and disorder (*i.e.* for $H_{\mathrm{pert}} = 0$), Eq. (8a) reduces to

$$\sigma_{xy} = -\frac{\hbar}{2\pi^2} \int_{\mathrm{DP}} d^2k \, \frac{\mathrm{Im}(\langle 0|j_x|1\rangle\langle 1|j_y|0\rangle)}{(E_1 - E_0)^2}, \quad (10)$$

where $|0\rangle$ now represents a valence band and $|1\rangle$ a conduction band single-particle state. Performing the wavevector integration recovers the half-integer QAHE obtained in independent-particle theories:[15,28]

$$\sigma_{xy} = \mathrm{sign}(\mathcal{V})\,\mathrm{sign}(m)\frac{e^2}{2h}, \quad (11)$$

where by $\mathcal{V}$ we denote the sense of the vorticity of the momentum-space valence-band-spinor texture in the absence of a gap. The same result for the Hall conductivity can be obtained by using the Berry connection expression. For the massive Dirac model the line integral in Eq. (8c) is around a circle with radius Λ such that $v\Lambda \gg m$. Eq. (8c) then simplifies to

$$\sigma_{xy} = \frac{e^2}{2\pi h} \int_0^{2\pi} d\phi \, i \, \langle 0|\frac{\partial}{\partial\phi}|0\rangle|_{k=\Lambda}. \quad (12)$$

where ϕ is the momentum orientation angle. We use this expression below to calculate the correction to the surface state Hall conductivity when electron-magnon interactions are included.

As explained previously, the half-quantized surface state Hall conductivity is expected to be invariant under weak perturbations. In Sec. II of the supplemental material we demonstrate explicitly that this expectation is confirmed when the massive Dirac single-particle Hamiltonian is perturbed by a weak spin-dependent disorder term. However, as we now show, corrections are finite when the Dirac surface-state quasi-particle interact with quantum fluctuations of the ordered state responsible for time-reversal symmetry breaking.

The origin of the interaction effect is schematically summarized in Fig. 2 where we illustrate (panels **a**–**c**) the surface-state band structure of the massless Dirac model, the massive Dirac model, and the Dirac model coupled to a bosonic mode. The band eigenstates can be viewed (panels **d** and **e**) as momentum-dependent spin-1/2 coherent states. When electron-magnon coupling is neglected the massive Dirac model spin has spin-↓ orientation at the Dirac point $\boldsymbol{k} = 0$, and an in-plane orientation at large $|k|$ with a finite vorticity, forming a meron. The $\boldsymbol{k} = 0$ spin orientation fixes the gauge choice for the unperturbed spin-coherent states. Because of the large splitting between conduction- and valence-band states at large $|k|$ used to evaluate the Berry connection, electron-magnon scattering coherently mixes primarily $n = 0$ and $n = 1$ magnon states, leaving the electronic state in the valence band. The Hall conductivity correction is due in part to the reduced weight of the $n = 0$ valence-band state responsible for the non-interacting Hall effect, and in part due to the momentum-orientation coherence between $n = 0$ and $n = 1$ states which changes the sign of the $n = 1$ Berry connection contribution. In panel **f** of Fig. 2 we plot the Berry connection integral of Eq. (12), calculated as a function of $|k|$ both neglecting and including electron-magnon interactions. For large $|k|$ the interacting model does not converge to the quantized value of 1/2 but obtains an interaction correction. The calculation is described in greater detail below.

At leading order in perturbation theory, corrections are obtained by summing over contributions from distinct boson modes, and the boson Hilbert space can be truncated to occupation numbers 0 and 1. To bring out the physics of the interaction correction as simply as possible we focus first on the contribution from interactions
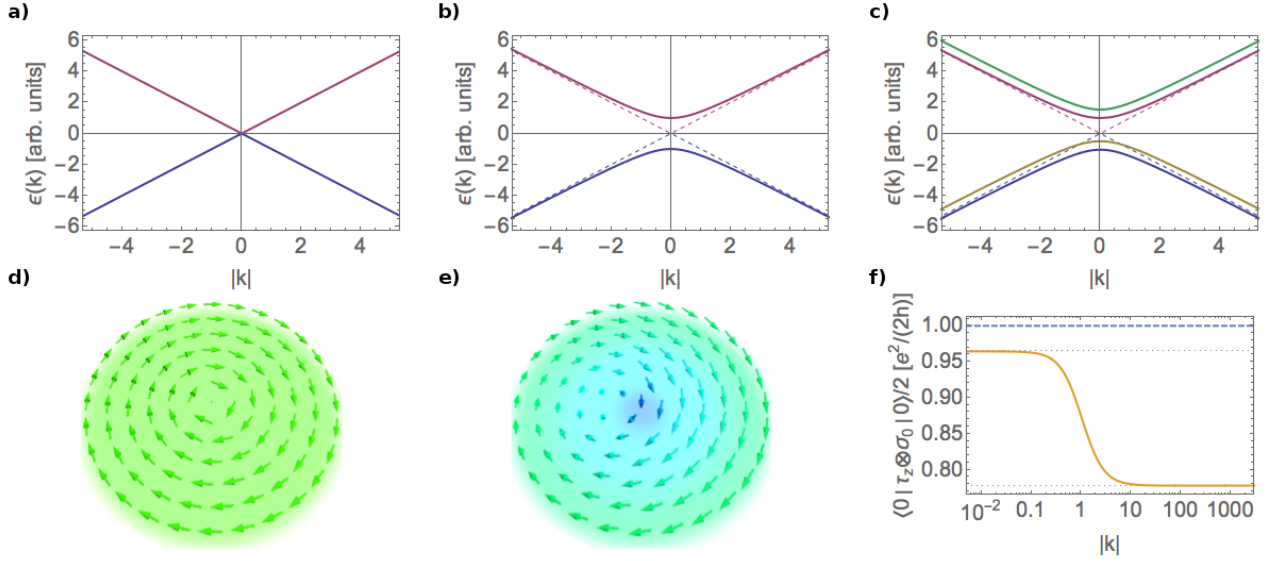
FIG. 2. (Color online) Band structure for **a)** a pure ($\hbar v = 1$, $m/v = 0$) Dirac model, **b)** a massive ($m/v = 1$) Dirac model, and **c)** a massive Dirac model interacting with momentum $\boldsymbol{p} = 0$ magnons restricted to occupation numbers 0 and 1 ($A^{-1/2}\Omega/v = 1/3$, $\omega/v = 1/2$). Panel **d)** shows the momentum space spin texture of the ground state of the pure Dirac model in which spins projections lie in the xy plane and rotate along with the momentum direction. Panel **e)** shows the spin texture of the massive Dirac model with a momentum-space vortex centered at $\boldsymbol{k} = 0$. The spin is in the $-\hat{z}$ direction at $\boldsymbol{k} = 0$. (The color code denotes the z component of the spins.) Panel **f)** shows the result of Eq. (12) in units of $e^2/(2h)$ as a function of $|k|$ in the non-interacting and the electron-spinwave-interacting 2D massive Dirac model. For large $|k|$ the interacting model does not converge to the quantized value of $e^2/(2h)$ but obtains a correction given by $[-(\Omega/\omega)^2/2] \times e^2/(2h)$.

between surface-state quasiparticles and a boson mode with 2D momentum $\boldsymbol{p} = 0$. This simplification leads to a Hilbert space in which four possible states are associated with each crystal momentum, valence- and conduction-band states with and without a boson present. The many-body Hamiltonian is then diagonal in crystal momentum, and each 4×4 block has the form

$$\mathcal{H}^{n=1} = \begin{pmatrix} \mathcal{H}_{\mathrm{qp}} & \mathcal{M} \\ \mathcal{M}^\dagger & \mathcal{H}_{\mathrm{qp}} + \hbar\omega \end{pmatrix}. \quad (13)$$

For electron-magnon interactions the spin-dependent quasi-particle-boson interaction matrix[29]

$$\mathcal{M} = \hbar\Omega \begin{pmatrix} \mathcal{M}_{11} & \mathcal{M}_{12} \\ \mathcal{M}_{21} & \mathcal{M}_{22} \end{pmatrix} \quad (14)$$

has only one non-zero element since magnon creation is accompanied by spin-flip from $\uparrow$ to $\downarrow$:

$$\Omega\mathcal{M}_{21} = \frac{m}{2\sqrt{M_0}} \quad (15)$$

where m is the quasi-particle mass, and M_0 is spin per unit area of the thin film.

To calculate the Hall conductivity correction we separate $\mathcal{H}^{n=1}$ into $\mathcal{H}_0^{n=1}$ and $\mathcal{H}_{\mathrm{pert}}^{n=1}$ with

$$\mathcal{H}_0^{n=1} = \begin{pmatrix} \mathcal{H}_{\mathrm{qp}} & 0 \\ 0 & \mathcal{H}_{\mathrm{qp}} + \hbar\omega \end{pmatrix}, \mathcal{H}_{\mathrm{pert}}^{n=1} = \begin{pmatrix} 0 & \mathcal{M} \\ \mathcal{M}^\dagger & 0 \end{pmatrix}. \quad (16)$$

For $m > 0$, the unperturbed ground state at $\boldsymbol{k} = 0$ is a spin-$\downarrow$ state. At finite $\boldsymbol{k}$ the unperturbed ground state is a spin-coherent state with a finite in-plane component with orientation $\chi = \phi + \pi/2$. In order to use the Berry phase formula for the Hall conductivity we must choose the gauge in which the phase factor $\exp(-i\chi)$ appears in the spin-$\uparrow$ component of the unperturbed ground state spinor. The correction to the ground state due to interactions with magnons can then be calculated using first-order perturbation theory. At large wavevectors we can ignore mixing between conduction- and valence-band states because of the large $v\Lambda$ energy denominator. In this way we find that on $\partial \mathrm{DP}$:

$$|0\rangle \approx |n = 0\rangle \otimes |v\rangle - \frac{\Omega\mathcal{M}_{21}\exp(i\chi)}{2\omega}|n = 1\rangle \otimes |v\rangle, \quad (17)$$

where

$$|v\rangle = \frac{1}{\sqrt{2}}(\exp(-i\chi), 1) \quad (18)$$

is the unperturbed valence band state on $\partial \mathrm{DP}$. It then follows from the Berry connection formula for the Hall conductivity that

$$\sigma_{xy} \approx \frac{e^2}{2h}\,\mathrm{sign}\,(\mathcal{V})\left[\mathrm{sign}(m) - \frac{1}{2}\left(\frac{\Omega}{\omega}\right)^2|\mathcal{M}_{21}|^2\right]. \quad (19)$$

In Eq. (19) we have generalized to the cases in which the surface-state Dirac model is altered by changing the sign

of the mass m and/or the vorticity of momentum-space spin texture. ($\chi = \text{sign}(\mathcal{V})(\phi + \pi/2)$.)

Because the valence-band states on ∂DP vary with momentum on the scale of Λ, the magnon-mode Hall conductivity correction calculation at finite $\boldsymbol{p}$ is unchanged relative to $\boldsymbol{p} = 0$ provided that the momentum magnitude $|\boldsymbol{p}|$ that is much smaller than Λ. An expression for the Hall conductivity correction valid for arbitrary electron-boson interaction vertex and arbitrary surface-state band-structure model requires a lengthy and detailed calculation, and is provided in Sec. I B of the supplemental material. For a diluted-moment magnetically ordered TI thin film, the quasi-particle mass and the quasi-particle vorticity are both opposite in sign on top and bottom surfaces. It follows that, although the Hall conductivities of the top and bottom surfaces both have corrections, they differ in sign.

IV. DISCUSSION

In the previous section we calculated the contribution of a single magnon mode to the Hall conductivity interaction correction, which is inversely proportional to the surface area of the system. The correction to the Hall conductivity varies slowly with magnon momentum $\boldsymbol{p}$ provided $\boldsymbol{p}$ is close to the Dirac point. Summing over magnons with momenta inside DP we predict an overall correction proportional to $(A_{\text{DP}}/M_0)(m/\omega)^2$, where A_{DP} is the area in momentum space of the Dirac point region DP. Since the gap in the magnon spectrum, due either to weak external fields used to saturate the magnetization or to the perpendicular magnetic anisotropy of magnetically doped TI thin films, is typically smaller than the gap produced in the surface-state quasi-particle spectrum, the interaction correction can be large even when $m \ll v\Lambda$. A large interaction correction to the magneto-electric coefficients of TI thin films is present even when time-reversal symmetry breaking is weak when measured by the size of the surface-state gap it produces. This result, which may seem surprising, is in fact natural because of the strong spin-orbit coupling inevitably present in TIs. A magnetic order parameter in a magnetically doped TI will never be a good quantum number. Quantum fluctuations of the magnetic condensate interact with surface-state quasi-particles and cause the system's broken time-reversal symmetry to be manifested even in quasi-particles that are far from the Dirac point.

A TI differs from an ordinary insulator mainly via its protected surface states, and these complicate[30,31] the task of measuring the magneto-electric effects discussed here. In particular, electrical measurements of a magnetic field dependent film polarization are not possible when the system has a non-zero total Hall conductivity, because this is necessarily associated with edge states which are localized on side walls and short the top and bottom surfaces of the film. As recently discussed in Ref. 31, however, electrical measurements should be feasible when the top half of the thin film is doped with Cr ions and the bottom half with Mn ions. These atoms have exchange interactions with surface-state electrons that have opposite sign. When they are aligned by a weak magnetic field, the sign of the exchange effective field on top and bottom surface Dirac cones is opposite.[20,22,32] In terms of the massive Dirac models we have studied in this paper, this circumstance implies that there are no side wall states and that while the signs of the momentum-space vorticities on the top and bottom surfaces are opposite, the masses have the same sign. Because the total Hall conductivity is zero in this case, there should be an energy range over which there are no side wall states. The individual surface Hall conductivities are non-zero however, and they can be measured electrically by detecting current flow between top and bottom surfaces as magnetic field strength is varied. We predict that this measurement will identify an interaction correction to the surface state Hall conductivity. Similar interaction corrections which contribute to the valley Hall effect but cancel out in the total anomalous Hall effect occur in honeycomb lattice Dirac systems[4,33] when the electron-boson interaction is sublattice dependent.

V. CONCLUSIONS

The surface Hall conductivity of an insulator is proportional to its magneto-electric polarizability, *i.e.* to the coefficient which describes how the polarization of a film depends on magnetic field strength. By explicitly evaluating the surface Hall conductivity of surface states described by a massive Dirac model, we have shown that there is a non-universal interaction correction to the quantized magneto-electric coefficient of thin films formed from TIs. Corrections to the top and bottom surface Hall conductivities cancel, however, imply that there is no correction to the quantized anomalous Hall effect in magnetically doped TIs. The interaction correction to the magneto-electric polarizability can be measured electrically only when the total Hall conductivity of top and bottom surfaces is made to vanish, for example by aligning local moments with opposite signs of exchange coupling to the Dirac surface states.

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Supplemental Materials: Interaction Correction to the Magneto-Electric Polarizability of Z_2 Topological Insulators

I. DERIVATION OF THE HALL CONDUCTIVITY

Following Ref. S1, we summarize the derivation of the Hall conductivity using linear-response theory. For a general, time-dependent perturbation $H_{\text{pert}}(t)$ acting on a system described by the unperturbed, time-independent Hamiltonian H_0:

$$H(t) = H_0 + H_{\text{pert}}(t), \tag{S1}$$

the Hall conductivity tensor $\sigma_{\mu\nu}(\boldsymbol{q}, \omega)$ expresses the linear response of a current in direction ν to an electric field applied in direction $\mu \neq \nu$. It can be expressed in terms of the current-current correlation function $\Sigma_{\mu\nu}(\boldsymbol{q}, \omega)$:

$$\sigma_{\mu\nu}(\boldsymbol{q}, \omega) = -\lim_{\eta \to 0^+} \frac{\Sigma_{\mu\nu}(\boldsymbol{q}, \omega + i\eta) - \Sigma_{\mu\nu}(\boldsymbol{q}, 0)}{\varpi}, \tag{S2}$$

$$\Sigma_{\mu\nu}(\boldsymbol{q}, \omega) = \frac{1}{\hbar V} \int_0^\infty dt \; e^{i\omega t} \operatorname{tr}\{\rho_0 [j_\mu(\boldsymbol{q}, t), j_\nu(-\boldsymbol{q}, 0)]\}, \tag{S3}$$

with $\rho_0 = |0\rangle\langle 0|$ the zero-temperature density matrix operator expressed in terms of the ground state $|0\rangle$ of the system. In the long-wavelength and static limit ($\boldsymbol{q} \to 0$ and $\omega \to 0$) we are interested in, the conductivity tensor simplifies to:

$$\sigma_{\mu\nu}(\boldsymbol{q} = 0, \omega = 0) = -\lim_{\eta \to 0^+} \frac{\Sigma_{\mu\nu}(\boldsymbol{q} = 0, i\eta) - \Sigma_{\mu\nu}(\boldsymbol{q} = 0, 0)}{i\eta} = -d_\varpi \Sigma_{\mu\nu}(\boldsymbol{q} = 0, \varpi)\big|_{\varpi = 0} \tag{S4}$$

with $\varpi \equiv \omega + i\eta$. In the following, we drop the momentum argument, writing $\Sigma_{\mu\nu}(\boldsymbol{q} = 0, \varpi) \equiv \Sigma_{\mu\nu}(\varpi)$ and $j_\mu(0, t) \equiv j_\mu(t)$. We then obtain:

$$\Sigma_{\mu\nu}(\varpi) = \frac{1}{\hbar V} \int_0^\infty dt \; e^{i\varpi t} \operatorname{tr}\{|0\rangle\langle 0|(j_\mu(t)j_\nu(0) - j_\nu(0)j_\mu(t))\} \tag{S5a}$$

$$= \frac{1}{\hbar V} \int_0^\infty dt \; e^{i\varpi t} \langle 0|(j_\mu(t)j_\nu(0) - j_\nu(0)j_\mu(t))|0\rangle \tag{S5b}$$

$$= \frac{1}{\hbar V} \int_0^\infty dt \; e^{i\varpi t} \sum_n (\langle 0|j_\mu(t)|n\rangle\langle n|j_\nu(0)|0\rangle - \langle 0|j_\nu(0)|n\rangle\langle n|j_\mu(t)|0\rangle) \tag{S5c}$$

$$= \frac{1}{\hbar V} \int_0^\infty dt \; e^{i\varpi t} \sum_{n \neq 0} (\langle 0|j_\mu(t)|n\rangle\langle n|j_\nu(0)|0\rangle - \langle 0|j_\nu(0)|n\rangle\langle n|j_\mu(t)|0\rangle). \tag{S5d}$$

Evaluating the time dependencies of the Heisenberg operators, $A(t) = e^{itH_0/\hbar} A e^{-itH_0/\hbar}$, then leads to:

$$\langle 0|j_\mu(t)|n\rangle = \langle 0|e^{itH_0/\hbar} j_\mu e^{-itH_0/\hbar}|n\rangle = e^{itE_0/\hbar}\langle 0|j_\mu|n\rangle e^{-itE_n/\hbar} = e^{-it\omega_{n0}}\langle 0|j_\mu|n\rangle, \tag{S6}$$

where E_n is the energy of the n-th state, and $\omega_{n0} \equiv (E_n - E_0)/\hbar$. For $\Sigma_{\mu\nu}(\varpi)$ we then obtain the following relation:

$$\Sigma_{\mu\nu}(\varpi) = \frac{1}{\hbar V} \int_0^\infty dt \; e^{i\varpi t} \sum_{n \neq 0} (\langle 0|j_\mu|n\rangle\langle n|j_\nu|0\rangle e^{-it\omega_{n0}} - \langle 0|j_\nu|n\rangle\langle n|j_\mu|0\rangle e^{it\omega_{n0}}) \tag{S7a}$$

$$= \frac{i}{\hbar V} \sum_{n \neq 0} \left(\frac{\langle 0|j_\mu|n\rangle\langle n|j_\nu|0\rangle}{\varpi - \omega_{n0}} - \frac{\langle 0|j_\nu|n\rangle\langle n|j_\mu|0\rangle}{\varpi + \omega_{n0}} \right). \tag{S7b}$$

Substituting the result of Eq. (S7) back into Eq. (S2) for $\boldsymbol{q} = 0$ we obtain:

$$\sigma_{\mu\nu}(\omega) = -\lim_{\eta\to 0^+} \frac{\Sigma_{\mu\nu}(\varpi) - \Sigma_{\mu\nu}(0)}{\varpi} \tag{S8a}$$

$$= -\lim_{\eta\to 0^+} \frac{1}{\varpi}\frac{i}{\hbar V} \sum_{n\neq 0}\left[\langle 0|j_\mu|n\rangle\langle n|j_\nu|0\rangle\left(\frac{1}{\varpi-\omega_{n0}} - \frac{1}{-\omega_{n0}}\right) - \langle 0|j_\nu|n\rangle\langle n|j_\mu|0\rangle\left(\frac{1}{\varpi+\omega_{n0}} - \frac{1}{\omega_{n0}}\right)\right] \tag{S8b}$$

$$= -\lim_{\eta\to 0^+} \frac{i}{\hbar V} \sum_{n\neq 0}\left(\frac{\langle 0|j_\mu|n\rangle\langle n|j_\nu|0\rangle}{(\varpi-\omega_{n0})\omega_{n0}} + \frac{\langle 0|j_\nu|n\rangle\langle n|j_\mu|0\rangle}{(\varpi+\omega_{n0})\omega_{n0}}\right) \tag{S8c}$$

$$= -\frac{i}{\hbar V} \sum_{n\neq 0}\left(\frac{\langle 0|j_\mu|n\rangle\langle n|j_\nu|0\rangle}{(\omega-\omega_{n0})\omega_{n0}} + \frac{\langle 0|j_\nu|n\rangle\langle n|j_\mu|0\rangle}{(\omega+\omega_{n0})\omega_{n0}}\right). \tag{S8d}$$

In the static limit we find:

$$\sigma_{\mu\nu}(\boldsymbol{q}=0, \omega=0) = \frac{i}{\hbar V} \sum_{n\neq 0} \frac{\langle 0|j_\mu|n\rangle\langle n|j_\nu|0\rangle - \langle 0|j_\nu|n\rangle\langle n|j_\mu|0\rangle)}{\omega_{n0}^2} \tag{S9}$$

which after integration over the Brillouin zone BZ leads for $\mu = x$ and $\nu = y$ to:

$$\sigma_{xy} = -\frac{V}{(2\pi)^2}\int_{\text{BZ}} d^2k \frac{2}{\hbar V} \sum_{n\neq 0} \frac{\text{Im}(\langle 0|j_x|n\rangle\langle n|j_y|0\rangle)}{(E_n-E_0)^2/\hbar^2} = -\frac{1}{h\pi}\int_{\text{BZ}} d^2k \sum_{n\neq 0} \frac{\text{Im}(\langle 0|j_x|n\rangle\langle n|j_y|0\rangle)}{(E_n-E_0)^2/\hbar^2}. \tag{S10}$$

Rewriting the latter in terms of the Berry curvature (see Ref. S2), and applying Stokes theorem to convert to an expression in terms of the gauge-dependent Berry connection leads to:

$$\sigma_{xy} = -\frac{1}{h\pi}\int_{\text{DP}} d^2k \sum_{n\neq 0} \frac{\text{Im}(\langle 0|j_x|n\rangle\langle n|j_y|0\rangle)}{(E_n-E_0)^2/\hbar^2} = \frac{e^2}{2\pi h}\int_{\text{DP}} d^2k\, \Omega_{xy}(\boldsymbol{k}) = \frac{e^2}{2\pi h}\oint_{\partial\text{DP}} d\boldsymbol{k}\cdot\boldsymbol{A}(\boldsymbol{k}). \tag{S11}$$

The integrals over momentum space are taken over the region around the Dirac point DP, bounded by ∂DP, over which the surface states lie inside the bulk gap.

We now specialize to the case of the generalized Dirac model discussed in the main text for which ∂DP is a circle with radius Λ such that $v\Lambda \gg m$. Using the chain rule we find that

$$\sigma_{xy} = \frac{e^2}{2\pi h}\oint_{\partial\text{DP}} d\boldsymbol{k}\cdot\boldsymbol{A}(\boldsymbol{k}) = \frac{e^2 i}{2\pi h}\int_0^{2\pi} d\phi\, \langle 0|\frac{\partial}{\partial\phi}|0\rangle|_{k=\Lambda}, \tag{S12}$$

where ϕ is the orientation angle in momentum space. This is Eq. (12) of the main text.

As explained in the main text, to apply the Berry connection formula for the Hall conductivity we must choose a gauge in which $|0\rangle$ is a smooth function of momentum in DP.

A. Electron-spin-wave interaction vertex

Due to the simple ϕ dependence of the perturbed ground state, explicitly given in Eq. (17) of the main text, differentiating with respect to ϕ is equivalent to simply multiplying $|0\rangle$ by the diagonal matrix with non-zero entries $(-i, 0, 0, i)$. This operator is conveniently expressed as $-i(\tau_0\otimes\sigma_z + \tau_z\otimes\sigma_0)/2$ so that

$$\sigma_{xy} = \frac{e^2}{2\pi h}\int_0^{2\pi} d\phi\, i\, \langle 0|\frac{\partial}{\partial\phi}|0\rangle|_{k=\Lambda} = \frac{e^2}{2h}\langle 0|(\tau_0\otimes\sigma_z + \tau_z\otimes\sigma_0)|0\rangle|_{k=\Lambda}, \tag{S13}$$

where τ_α are Pauli matrices in the $n = 0, 1$ boson occupation number space, and σ_α are Pauli matrices in spin space. In the limit $\Lambda \to \infty$ we find $\langle 0|(\tau_0\otimes\sigma_z)|0\rangle|_{k=\Lambda} = 0$, because at large $|k|$ the expectation value of σ_z in each boson sector is zero. Thus, σ_{xy} is equivalent to

$$\sigma_{xy} = \frac{e^2}{2h}\langle 0|(\tau_z\otimes\sigma_0)|0\rangle|_{k=\Lambda}. \tag{S14}$$

The expectation value $\mathcal{O}_\alpha \equiv \langle 0_\alpha|\tau_z\otimes\sigma_0|0_\alpha\rangle$ of the individual components of the ground state wavefunction is shown in Fig. S1.
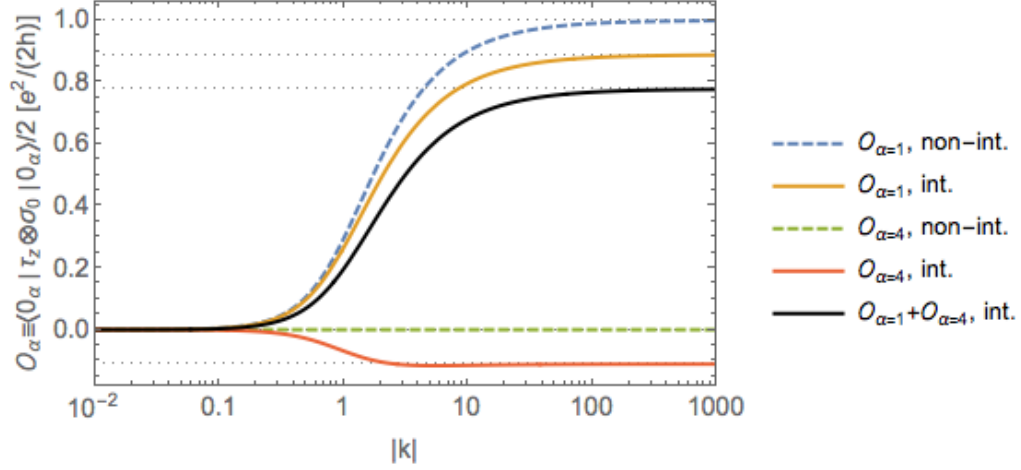
FIG. S1. Contribution to the expectation values $\mathcal{O}_\alpha \equiv \langle 0_\alpha | \tau_z \otimes \sigma_0 | 0_\alpha \rangle$ from component α of the ground state wavefunction $|0\rangle$, as function of momentum $|k|$. ($\tau_z \otimes \sigma_0$ is a diagonal operator with diagonal components $(1, 0, 0, -1)$ so that only the first and fourth components of the wavefunctions contribute. In the non-interacting case (dashed lines) the Berry phase comes only from $|n = 0\rangle \otimes |v|\rangle$, $i.e.$ the first component of the dressed ground state wavefunction. In the spin-wave case (solid lines), at large $|k|$ the weight and hence the Berry connection contribution from this component is reduced, and a contribution of opposite sign from the $|n = 1\rangle \otimes |v\rangle$ ($\alpha = 4$) component arises. The topological magneto-electric effect is proportional to the sum of those Berry phases, $\mathcal{O}_1 + \mathcal{O}_4$ (black solid line).

B. General electron-boson interaction vertex

For an arbitrary interaction vertex $\mathcal{M}$, and arbitrary surface-state band-structure model, we obtain the following perturbative expression to leading order in Ω/ω:

$$\sigma_{xy} \approx \frac{e^2}{h} \left[\nu + \left(\frac{\Omega}{\omega} \right)^2 \int_{\mathrm{DP}} \frac{d^2 k}{4\pi} f(\boldsymbol{d}(\boldsymbol{k}), \mathcal{M}) \right], \tag{S15}$$

where ν is given by:

$$\nu = \int_{\mathrm{DP}} \frac{d^2 k}{4\pi} \, \hat{\boldsymbol{d}}(\boldsymbol{k}) \cdot \left(\partial_{k_x} \hat{\boldsymbol{d}}(\boldsymbol{k}) \times \partial_{k_y} \hat{\boldsymbol{d}}(\boldsymbol{k}) \right), \tag{S16}$$

and the function $f(\boldsymbol{d}(\boldsymbol{k}), \mathcal{M})$ is defined by:

$$\begin{aligned}
f(\boldsymbol{d}(\boldsymbol{k}), \mathcal{M}) = &\left[\hat{\boldsymbol{d}}(\boldsymbol{k}) \cdot \left(\partial_{k_x} \hat{\boldsymbol{d}}(\boldsymbol{k}) \times \partial_{k_y} \hat{\boldsymbol{d}}(\boldsymbol{k}) \right) \right] \{ \hat{d}_z(\boldsymbol{k})(|\mathcal{M}_{12}|^2 - |\mathcal{M}_{21}|^2) \\
&+ \hat{d}_y(\boldsymbol{k}) \left[\mathrm{Re}(\mathcal{M}_{11} - \mathcal{M}_{22}) \mathrm{Im}(\mathcal{M}_{12} + \mathcal{M}_{21}) - \mathrm{Im}(\mathcal{M}_{11} - \mathcal{M}_{22}) \mathrm{Re}(\mathcal{M}_{12} + \mathcal{M}_{21}) \right] \\
&- \hat{d}_x(\boldsymbol{k}) \left[\mathrm{Re}(\mathcal{M}_{11} - \mathcal{M}_{22}) \mathrm{Re}(\mathcal{M}_{12} - \mathcal{M}_{21}) + \mathrm{Im}(\mathcal{M}_{11} - \mathcal{M}_{22}) \mathrm{Im}(\mathcal{M}_{12} - \mathcal{M}_{21}) \right] \}. \tag{S17}
\end{aligned}$$

Due to the rotational symmetry of the Dirac model, the contributions proportional to $\hat{d}_x$ and $\hat{d}_y$ vanish upon integration over the Brillouin zone, since $\hat{d}_x(-\boldsymbol{k}) = -\hat{d}_x(\boldsymbol{k})$. On the other hand, the contribution proportional to $\hat{d}_z$ yields a finite correction since generally $\hat{d}_z(\boldsymbol{k})$ is an even function of $\boldsymbol{k}$. Therefore, for the Dirac model only off-diagonal terms in the electron-boson interaction vertex $\mathcal{M}$ lead to a correction:

$$\sigma_{xy} \approx \frac{e^2}{2h} \mathrm{sign}(\mathcal{V}) \left[\mathrm{sign}(m) + \frac{1}{2} \left(\frac{\Omega}{\omega} \right)^2 (|\mathcal{M}_{12}|^2 - |\mathcal{M}_{21}|^2) \right]. \tag{S18}$$

In particular, this implies that for an electron-phonon interaction vertex described by $\mathcal{M}_{\mathrm{ph}} = \gamma_{\mathrm{ph}} \sigma_0$ there is no perturbation. However, for the spin-wave interaction described by $\mathcal{M}_{\mathrm{sw}} = \gamma_{\mathrm{sw}}(\sigma_x - i\sigma_y)/2$ we obtain a finite correction, as discussed in detail in the main text.

II. UNCHANGED QUANTIZED HALL CONDUCTIVITY FOR STATIC PERTURBATIONS

The absence of a disorder-induced correction to the Hall conductivity is easiest to establish explicitly in the case of a spin-dependent but spatially homogeneous perturbation, $\mathcal{H}_{\text{pert}}^{\text{st}} = g_0\sigma_0 + \boldsymbol{g} \cdot \boldsymbol{\sigma}$, on top of the unperturbed quasiparticle Hamiltonian, Eq. (2) of the main text. Using the relationship between Berry phases and spin-coherent state orientations for spin-1/2 we find that

$$\sigma_{xy} = \frac{e^2}{4\pi h} \int_{\text{DP}} d^2k \frac{\big(\boldsymbol{d}(\boldsymbol{k}) + \boldsymbol{g}\big) \cdot \big(\partial_{k_x}\boldsymbol{d}(\boldsymbol{k}) \times \partial_{k_y}\boldsymbol{d}(\boldsymbol{k})\big)}{|\boldsymbol{d}(\boldsymbol{k}) + \boldsymbol{g}|^3}. \tag{S19}$$

Performing the integration in Eq. (S19) for the massive Dirac Hamiltonian model (Eq. (5) in the main text) we find that at zero temperature

$$\sigma_{xy} = \text{sign}(\hbar m + g_z)\frac{e^2}{2h}. \tag{S20}$$

Therefore, there is no change in the Hall conductivity unless the perturbation is sufficiently large to change the gap. The corresponding derivation for finite wavevector $\boldsymbol{p}$ spatially modulated perturbations follows exactly the same lines and, because the current operator of the Dirac model is independent of wavevector, leads to an expression that is identical to Eq. (S19).

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